

Duke University Round Robin Report
ALCTS CMDS Chief Collection Development Officers of Large Research Libraries
ALCTS Technical Service Big Heads - Directors of Large Research Libraries
June 2006

Strategic plan:

The Libraries have submitted their Strategic Plan to the University's Strategic Planning Steering Committee after an extensive planning and review process. The final version of the larger University plan, expected in the fall, will incorporate goals of the library plan. At meetings open to all staff, the four goals have been reviewed and discussed:

Goal 1: Provide flexible, functional, inviting, safe, technology-equipped library space throughout the Perkins Library System.

Goal 2: Exploit digital technology to provide convenient, seamless access to materials in all formats.

Goal 3: Coordinate library resources and services to maximize support for interdisciplinary initiatives, teaching, learning and research.

Goal 4: Develop and maintain policies and programs that support the recruitment, high performance, and retention of a well-qualified, motivated and diverse workforce.

North Carolina Festival of the Book:

The Library was the organizer and sponsor of the North Carolina Festival of the Book during the week of April 21st with readings and conversations between writers held throughout Durham and on the Duke campus. This event, free and open to the public, was attended by approximately 12,000 people. The theme of the Festival was "It's About the Story," and it featured world-class writers in conversation, the stories behind their work, and the relationships that influence their writing. There were eighty participants in more than forty events over seven days.

CONSORTIL ACTIVITIES

Triangle Research Libraries Network (TRLN):

TRLN task forces/committees have prepared proposals to be submitted to the TRLN Executive Committee for its consideration at the end of June.

1. Plan to reduce print subscriptions by member libraries
2. Summary of collection strengths, successful cooperative efforts in document delivery, consortial purchases of electronic resources, etc.
3. Report by a TRLN task force of comparative holdings data of the member libraries based on use of the OCLC WorldCat Collection Analysis tool, to which all TRLN libraries subscribed through SOLINET.

WorldCat Analysis Service:

Representatives from Duke served on the TRLN task force mentioned above. They have also coordinated training sessions for subject librarians in Perkins and for professional school librarians. Subject librarians are doing assessments in their areas of responsibility. The Collections Council has discussed doing analyses on the library's areas of strength and perhaps on new areas of emphasis in teaching and research at Duke.

BUILDINGS

Technical Services moving out of Perkins Library (main library):

Cataloging and Acquisitions staff, will not be moving back into to the Perkins Library building when renovation of that building is completed in 2007. Instead, they will be moving to another building on campus between the East and West campuses. The building, originally a warehouse, has been partially renovated for use of University offices, and the section to be occupied by Library technical services operations will need complete

renovation. It is a historically significant building with brick walls and wood columns, similar to others that have been repurposed by both the city of Durham and the University. The University architects will begin working with a library planning group to design suitable space for current and future technical services operations. Additional service points may be relocated to this building (approval review, gift book review, commercial binding, etc.). The space in the main library which was originally designated for Technical Services will be designed for a "learning commons" and additional classrooms for use by the Libraries and the academic units on campus. In July we will host a visit by several librarians who have been involved in a similar relocation process, and we would welcome the opportunity to talk with others who have already completed this type of move.

Chemistry Library Integration into Perkins (main library)

Preparatory work is well underway to integrate the Chemistry library's collection and staff into Perkins-Bostock. While the official move date is not scheduled until the end of August, Technical Services has been busy creating item records for serials represented only by summary holdings, identifying and resolving duplicate holdings, and assigning cutter numbers to bound periodicals that will be inter shelved in the main library. Acquisitions has reviewed all current periodical subscriptions and cancelled three duplicate titles. A review of the Chemistry Library Reference collection holdings is next. A request for funding to purchase extensive electronic back files of journals has been submitted to the University administration so it will not be necessary to house runs of those journals in print form in the library.

Renovation of Perkins:

The renovation of the first floor of Perkins Library will be completed in August. Reference and Access Services will move from Bostock back to Perkins, and the floor will be open to the public by the beginning of the academic year. The lower two floors will be renovated over the next year. All bound periodicals, currently in the lower of those two floors will be moved to the 1948 stacks, so that review for titles to be transferred to the Library Service Center (LSC) can take place onsite. Work will begin on the second, third, and fourth floors of Perkins as space is made available for staff and materials located on those floors. As mentioned above, the Chemistry Library is scheduled to be incorporated in the Perkins Library in August.

Currently there is a plan to incorporate the Biological and Environmental Sciences Library in Perkins in the summer or fall of 2007, after we have had experience with the Chemistry move. In order to incorporate the science library collections in Perkins, the Library has presented a proposal for Strategic Initiative Planning (SIP) funding to the Provost to receive approximately 4.3 million dollars over five years to accommodate the purchase of electronic journal back files, mainly in the sciences, so that the print versions can be housed at the Library Service Center.

Visual Arts Library & Lilly:

Plans are underway to include a visual studies library in the Central Campus building plans. The Lilly Library, which is on the East Campus and currently houses the arts, will become a gateway library, primarily for freshmen, who all live on East Campus. We anticipate that the Central Campus library facility will house film and video resources as well as the art and art history collections.

COLLECTIONS

2006/2007 Collections Budget received an inflationary increase of 7% for FY 2006/2007.

Exchange arrangement with Duke Press:

At the request of the Press to eliminate exchange arrangements with institutions in "developed" or "first world" countries, a small group has utilized the data of the Exchange Task Force to devise initial steps to reduce the exchange arrangements. This plan has been submitted to Duke Press for its reaction.

Newspaper Task Force:

This task force devised a collection policy for newspapers and a plan for reviewing our extensive print collection since all print newspapers (ca. 1,900 U.S. & 700 foreign titles) except those in Special Collections (ca. 2,700 titles) have to be moved from their current location in June to accommodate the efforts to renovate the lower two floors of Perkins.

ILS Implementations:

The Libraries plan to migrate our ExLibris database to Aleph Version 18. We are shooting for an October date, but hardware installation and testing could push that date to December. Plans are underway to train selected staff in ARC (Aleph Reporting Tool) in July and installation of VERDE, the ExLibris ERM product, is scheduled for August. Staff continue to review products such as Primo and Endecca for possible integration/implementation at Duke. We are testing E-Z Proxy for remote access to our databases, integrating with Metalib and using Shibboleth for authentication. This should be in full production in July.

LOCKSS & plans for Portico:

The Library has a LOCKSS server and is loading files on a test basis before a decision to join LOCKSS is made. It is anticipated that Perkins Library and the professional school libraries will subscribe to Portico.

Digitization:

The four collections approved for digitization by the Digital Collections Council (DCC) last September have been digitized, and there are plans to make them publicly available by the fall semester. The DCC will be seeking new proposals in a few months. The Digital Scriptorium, which includes digitized collections from the Rare Book, Manuscript, and Special Collections Library (RBMSCL), is being migrated to another platform, where the collections are searchable through a common interface for accessing all of the library's digitized resources.

Mellon grant with Dartmouth

The Libraries are sharing a Mellon planning grant with Dartmouth in a collaborative process to establish guidelines for a campus-wide approach to digital asset management and preservation of institutional and academic resources.

Better World Books:

Collection Development recently sent to Better World Books an initial shipment of over 5,000 books not being added to the collection and titles formerly in the Undergraduate Collection. Plans are to ship materials as they accumulate during the renovation of Perkins Library and until the new offsite module is completed since space onsite is very limited.

Janus Conference:

The presentations are being shown, one at a time, at lunch-time meetings open to the entire library staff. Sizeable crowds attended four sessions during the spring. Beginning in July, we will show the remaining sessions and will have discussions on the six challenges.

TECHNICAL SERVICES

Library Fellow to Acquisitions:

The second year Library Fellow, recruited to Duke as part of the Diversity Fellowship Program, will work in Acquisitions this year, devoting time to Electronic Resources.

LC Reclassification Project:

On 16 February 2006, Duke launched the second and final phase of a three-year reclassification project to convert its on-site Dewey classified collection to the Library of Congress Classification System. Four months into this phase, over 100,000 volumes have been relabeled, sorted, and shelved in LC. This stage of the

project, consisting of approximately two million volumes, is scheduled to conclude no later than 16 February 2008.

Series Authority Control

Duke plans to continue current procedures for series tracings and authority work. Our authority vendor will generate tracings from untraced 490 fields, and verify them. Since LC has decided to "pass through" tracings on copy, OCLC will retain series tracings when overlaying member records with LC, and other libraries will be able to add tracings to LC copy. There will be fewer untraced 490 fields than was expected when LC made their announcement. We will also continue to contribute series authority records through NACO. We had scheduled series NACO training for November (only a few of our catalogers are trained and contributing), and are waiting word from LC about whether the training will take place. We hope we will be able to provide the same level of access to series after June 1, 2006 as before, without spending significantly more staff time.

AACR3: Resource Description and Access:

With many major projects underway, we have not devoted much attention to RDA. A cursory overview of the draft of part I of RDA shows that the authors have achieved a more logical arrangement of topics, which should help catalogers to find the appropriate rule. Much is familiar in the rules, including the very detailed approach. It is interesting that the rules stay constant as some call into question the need for cataloging-as-we-know-it in the digital environment. Documents such as the Calhoun report and the PCC strategic planning documents call for a wider acceptance of cataloging from various sources. One can assume that this cataloging will not necessarily have all the t's crossed and i's dotted exactly as prescribed by RDA. At Duke, we have become more and more accepting of copy. We use the core standard as our basic standard for original cataloging and encourage applying the spirit rather than the letter of the law, using judgment rather than looking for a rule for every case. We hope that this approach will make it possible to adapt RDA with a minimum of upheaval.

Preservation:

TRLN and Duke have received a grant to begin a census of moving picture materials in the libraries of the four campuses. A graduate student has been hired to begin this census.

Conservation continues on the Jantz Collection of German Baroque Literature and has begun on the reformatting of unique tape recordings of African-American choirs.

INTERNATIONAL AND AREA STUDIES

East Asian Librarians in the Southeast:

In May, Duke and UNC hosted a meeting of East Asian librarians working in the Southeast. Nineteen people attended including librarians and para-professionals from the University of North Carolina at Chapel Hill and Duke and librarians from the University of Virginia, the University of Florida, and Emory University. Topics discussed included technical services and collection development as well as the status of the program and public services. It was a good meeting and we hope to continue to cooperate in the future.

SPECIAL COLLECTIONS

Rare Book, Manuscript, and Special Collections Library (RBMSCL):

Technical Services is in the process of hiring an Archivist/Manuscript Cataloger for the Sallie Bingham Center for Women's History and Culture, whose primary responsibility will be the processing of the Center's archival and manuscript collections. RBMSCL also is seeking to hire a Human Rights Archivist who will have collection development, technical services, and reference responsibilities in this area. Technical Services also anticipates beginning the search for a Technical Services Archivist/Encoding Specialist. The recent completion of the conversion of our encoded archival finding aids from EAD 1.0 to EAD 2002 represents a significant

achievement. In the area of Collection Development, there have been no major new collections or acquisitions.

PERSONNEL

Searches underway:

Archivist/Manuscript Cataloger for the Sallie Bingham Center for Women's History and Culture
Assistant Director, Development
AUL Collections Services
Digital Projects, Head
Human Rights Archivist

Positions filled:

Library Fellow: Courtney-Bianca Mack
Ibero & Latin American Librarian: Holly Ackerman
Scholarly Communications Officer: Kevin Smith
Slavic Librarian: Ernest Zitser

Other position changes:

Chinese Studies Librarian (interim): Xi Chen
Judaica/Hebraica Librarian (interim): Rachel Ariel

Submitted by Nancy Gibbs & Virginia Gilbert